

**DECISION
OF THE COUNCIL OF ADMINISTRATION
OF THE NATIONAL BANK OF MOLDOVA**

no. 59 of April 3, 2008

On the approval of the form of the qualification certificate of the auditor of financial institutions, as well as on the substitution of the certificate of bank auditors with the qualification certificate of the auditor of financial institutions

According to the provisions of Art. 38 of the Law on audit activity no.61-XVI of 16.03.2007 (Official Monitor of the Republic of Moldova, 2007, no.117-126, Art.530), Chapter XI of the Regulation on auditors' certification, approved by the Decision of the Government no.1450 of 24.12.2007 on certain procedures for the execution of Law no.61-XVI of 16.03.2007 (Official Monitor of the Republic of Moldova, 2007, no.203-206, Art.1493) and pursuant to Art. 11 and 26 of the Law on the National Bank of Moldova no.548-XIII of 21.07.1995 (Official Monitor of the Republic of Moldova, 1995, no.56-57, Art.624), with further modifications and completions, the Council of administration of the National Bank of Moldova

HAS DECIDED:

1. To approve the form (Attachment no.1) and technical characteristics (Attachment no.2) of the qualification certificate of the auditor of financial institutions.
2. The forms of recording the qualification certificates of the auditor of financial institutions shall be issued until May 31, 2008 according to the model presented in Attachment no.1 to this Decision.
3. Within the term of 5 days, after the achievement of p.2 of this decision, the persons holding certificates of bank auditors will be informed in written on the necessity to replace them until 30.06.2008 with the unlimited qualification certificates of the auditor of financial institutions, in case the mentioned persons hold qualification certificates of general auditors, as well. These persons will be informed through letters sent to the address indicated in the requests submitted for obtaining the qualification certificate of bank auditor.

4. The qualification certificates of bank auditor held by the persons indicated in p. 3 of this decision will be replaced, by 30.06.2008, with the unlimited qualification certificates of the auditor of financial institutions, based on the applicant's request according to Attachment no. 3 to this decision.
5. The qualification certificates of the bank auditor, which were not replaced within the term indicated under p. 4 of this decision with the unlimited qualification certificates of the auditor of financial institutions, shall be valid until the expiry date of the term for which these were issued.
6. To abrogate the attachment to Regulation no. 34/09-01 on the issuance of the qualification certificate of the bank auditor (minutes no.46 of 11.10.1996 of the Council of administration of the National Bank of Moldova, Official Monitor of the Republic of Moldova, 1996, no. 69, Art.84), with further modifications.
7. The Department of Logistics, administration and protocol, the Department of Banking regulation and supervision and the Legal Division shall undertake the necessary measures to fulfil the provisions of p. 2 of this decision.
8. The Department of Banking regulation and supervision shall undertake the necessary measures to fulfil the provisions of pts. 3 and 4 of this decision.
9. This decision shall come into effect on the date of its publication in the Official Monitor of the Republic of Moldova except for pts. 2, 7 and 8 that will come into effect on the date of its adoption.

<p>STATE COAT OF ARMS</p> <p>REPUBLIC OF MOLDOVA</p> <p>National Bank of Moldova</p> <p>QUALIFICATION CERTIFICATE OF THE AUDITOR of financial institutions</p>	<p>STATE COAT OF ARMS</p> <p>REPUBLIC OF MOLDOVA</p> <p>National Bank of Moldova</p> <p>QUALIFICATION CERTIFICATE OF THE AUDITOR of financial institutions</p> <p>Series <u>AIF</u> no.: _____</p> <p>Based on the decision of the Commission of certifying the auditor of financial institutions of „____” _____ 20____ (minutes no. _____)</p> <p>the qualification of</p> <p>Auditor of financial institutions is assigned to Mr. (Mrs.) _____ (name and surname of the holder)</p> <p>Chairman of the Certification Commission _____ (signature)</p> <p>Photo <i>stamp</i></p> <p>Secretary of the Certification Commission _____ (signature)</p> <p>Issued on „____” _____ 20____ Registration no. _____</p>
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**Technical characteristics of the qualification certificate of the
auditor of financial institutions**

1. size of the certificate: 14.5 cm X 10 cm;
2. the coat of arms shall be colour printed on the obverse and reverse sides of the certificate, according to the position indicated in Attachment no.1;
3. the NBM acronym shall be colour printed on the obverse and reverse sides of the certificate, according to the position indicated in Attachment no.1;
4. as a security element, a hatched net shall be printed on the surface of the reverse side of the certificate;
5. all inscriptions and their positioning shall be done in accordance with Attachment no.1.

Attachment no.3
to the Decision of the Council of
administration of the National Bank
no. 59 of April 3, 2008

National Bank of Moldova

R E Q U E S T
for the issuance of the qualification certificate of the auditor of financial institutions instead of
the qualification certificate of bank auditor

The undersigned _____

date of birth _____

identity card data:

date of issue _____ office _____

valid until _____ series _____

legal/physical person on behalf of whom I act (name, fiscal code and address) _____

home address _____

home phone _____, work phone _____

request the issuance of the qualification certificate of the auditor of financial institutions instead of
the qualification certificate of bank auditor.

The following are attached to the request:

identity card copy;

copy of the qualification certificate of the general auditor;

two photos 3x4.

Date of filling in _____

Signature _____